

Share Holding Pattern as per Regulation 31(1) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015

1 Name of Listed Entity: **Little Rock Trade and Investment Ltd.**
 2 Scrip Code Name of Scrip Class of Security: **22055 Equity**
 3 Share Holding Pattern Filed under: Reg. 31(1)(a) Reg. 31(1)(b) Reg. 31(1)(c): **Regulation 31(1)(b)**
 a If under 31(1)(b) then indicate the report for Quarter ending: **December 31, 2018**
 b If under 31(1)(c) then indicate date of allotment/extinguishment: **NA**
 4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?	-	No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	No
3 Whether the Listed Entity has any shares against which depository receipts are issued?	-	No
4 Whether the Listed Entity has any shares in locked-in?	-	No
5 Whether any shares held by promoters are pledge or otherwise encumbered?	-	No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows :

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV) + (V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII) + (X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg: Y	Total								
(A)	Promoter & Promoter Group	3	1135000	0	0	1135000	90.87	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(B)	Public	33	114000	0	0	114000	9.13	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(C)	Non Promoter- Non Public	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
	Total	36	1249000	0	0	1249000	100	0	0	0.00	0	0.00	0	0.00	0	0.00	0	

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV) + (V) + (VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII) + (X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held (b)
								Class X	Class Y	Total								
(a)	Indian																	
(aa)	Individuals/ Hindu undivided Family		0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(ab)	Central Government/ State Governments		0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(ac)	Financial Institutions/ Banks		0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(ad)	Any Other (Specify Corporation)		1135000	0	0	1135000	90.87	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(ae)	Manufacturing/ Commercial/ Pvt. Co.	AAACM0071I	446900	0	0	446900	35.78	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(af)	Finance/ Non-Financial/ Commercial/ Pvt. Co.	AAACM0277I	235500	0	0	235500	18.85	0	0	0.00	0	0.00	0	0.00	0	0.00	0	

For Little Rock Trade and Investment Ltd.

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Authorized Signatory

Individual/Commercial/PA/LLP	AMC/ISIN/IDR		452	0	0	452600	30.24	0	0	0	0	0.00	0	0	0	0	0.00	0
Sub-Total (AR1)		3	1135000	0	0	1135000	90.87	0	0	0	0.00	0	0.00	0	0	0	0.00	0
(2) Foreign																		
(a) Individuals-Non-Resident Individuals-Foreign Individuals		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
(b) Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
(c) Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
(d) Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
(e) Am. Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
Sub-Total (AR2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
Total Shareholding of Promoter and Promoter Group (A) = (AR1)+(AR2)		3	1135000	0	0	1135000	90.87	0	0	0	0.00	0	0.00	0	0	0	0.00	0

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchanges

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	Nos. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = (IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No(a)	As a % of total shares held (b)	No. (No applicable) (a)		As a % of total shares held (Not applicable) (b)
								Class X	Class Y	Total								
(1) Institutions																		
(a) Mutual Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b) Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c) Alternat Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e) Foreign Portfolio Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(f) Financial Institutions- Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(g) Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(h) Provident Funds/Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(i) Am. Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Sub-Total (BRI)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(2) Central Government, State Governments & President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Sub-Total (BRI2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

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 Director/Authorised Signatory

(3)	Non-institutions																	
(a)	Individuals - Individual shareholders holding nominal share capital up to Rs. 2 lakhs	30	25120	0	0	25120	0.56	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	ii Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs	3	88880	0	0	88880	8.57	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Munna Lal		22880															
	Suresh Kr Doshi		33000															
	Bimal Kr Singh		33000															
(b)	NBFCs registered with RBI	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Overseas Depositories holding DRs (Balance figures)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other specific	0	0	0	0	0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub-Total (B)(3)	33	114000	0	0	114000	9.13	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)	33	114000	0	0	114000	9.13	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %)
 Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed, suspense account, voting rights which are frozen etc.
 Note:
 (1) PAN would not be displayed on website of Stock Exchanges
 (2) The above format needs to be disclosed along with the name of following persons/ Institutions/ Non Institutions holding more than 1% of total number of shares
 (3) Where the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

(1)	Category & Name of the Shareholders (I)	PAN (II)	No. of shares held (III)	No. of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 as a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No. of Voting Rights		Total as a % of Total Voting rights			No.	As a % of total Shares held	No. (Not applicable)	As a % of total Shares held (Not applicable)		
									Class X	Class Y									Total
(1)	Custodian/DR Holder																		
(2)	Name of DR Holder (if available)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Non-Promoter, Non Public Shareholding (C) = (C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

Note:
 (1) PAN would not be displayed on website of Stock Exchanges
 (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
 (3) Where the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

Name & Designation: _____
 Date: 07/01/2019

For Little Rock Trade and Investment Ltd.
 For Little Rock Trade and Investment Ltd.
 Deepa Nanda
 Director/Authorised Signatory (Director)